

LETTER FOR REGISTRATION OF CLIENT FOR ADDITIONAL SEGMENT

To
Crown Consultant (P) Ltd
G-6/7, Amit Industrial Estate,
Dr. S. S. Rao Road, Near Citi Tower,
Parel(E), Mumbai - 400 012

Date: _____

Sir,

Reg: Name-
Client Code:

Sub: Request to register for additional Segment

I/We ----- am/are registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market/ FNO Market/ Cd Market segment of National Stock Exchange of India Ltd (NSE) and Bombay Stock Exchange (BSE).

I/We am/are interested to trade in Capital Market/ FO Market/ CD Market segment of National Stock Exchange of India Ltd. (NSE) and Bombay Stock Exchange (BSE).

I/we state that Know Your Client details as submitted by me/us for the Capital Market/ FO Market/ CD Market segment may be considered for the purpose of Capital Market/ FO Market/ Cd Market segment. I/we further confirm that the details contained in same remain unchanged as on date.

I/We am aware about all the Policies & Procedures, Rights, Obligations of Stock Broker & Clients, Risk Disclosure Documents, Guidance Notes- Do's and Dont's for trading, and shall abide by the terms and conditions given therein.

I/We shall ensure also compliance with the requirements as may be specified from time to time by National Stock Exchange of india Ltd. and Securities and Exchange Board of India.

I/We therefore request you to register me/us as your client for the purpose of trading in the Capital Market/ FO Market/ CD Market segment of National Stock Exchange of India Ltd. (NSE) and Bombay Stock Exchange(BSE).

Thanking you,

Yours faithfully,

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

| Exchanges | Cash Segments | Signature | Derivatives Segments | Signature |
|-----------|---------------|----------------------------------|----------------------|---------------------------------|
| NSE | Cash | <input type="checkbox"/> (3/48) | F&O | <input type="checkbox"/> (4/48) |
| | | | Currency | <input type="checkbox"/> (5/48) |
| NSE | SLBM | <input type="checkbox"/> (6/48) | | |
| BSE | Cash | <input type="checkbox"/> (7/48) | F&O | <input type="checkbox"/> (8/48) |
| | | | Currency | <input type="checkbox"/> (9/48) |
| BSE | SLBM | <input type="checkbox"/> (10/48) | | |

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years :

1.
2.
3.

E. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

• If Client is dealing through the sub-broker, provide the following details :

Sub-broker's Name :

SEBI Registration No. :

Registered Office Address : Pin Code :

Phone : Fax :

Website :

• Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of Stock Broker :

Name of Sub-broker (if any) :

Registered Office Address : Pin Code :

Client Code : Exchange :

Details of disputes/dues pending from/to such stock broker/sub-broker :

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